

22. [Introduction]

From *Theatre of the Oppressed*

Unlike other authors whose work is contained in this volume, Augusto Boal has been to jail for practicing his interactive techniques.

Boal works in interactive performance, creating opportunities for interaction around the problems that confront ordinary people. He has worked with some of the most oppressed people of South America, using techniques described in the following selection and known as *Theatre of the Oppressed*. Boal was initially based in Brazil—until the military government murdered his colleagues, jailed and tortured him for three months, and warned him he would not be safe after his release. He fled to Argentina, worked there and in Chile, and was later forced into exile in Europe. It was there he applied his techniques to a new type of oppression, taking on not only the “cop on the street,” but also the “cop in the head.” The applications of Boal’s techniques toward therapeutic aims, developed during this period, are called *The Rainbow of Desire*. In the mid-1980s it became safe for Boal to return to Brazil. In 1992 he ran for political office as an act of theatre, and, to the surprise of all, was elected. He brought his theatre troupe with him as his support staff, and began to use his performance techniques for developing legislative proposals with those who normally lack a legislative voice. This work is documented in *Legislative Theatre*. Boal was an effective politician, leading the political right in Brazil to harass him with lawsuits and to use their resources to ensure he would not be reelected.

When considering Boal from a new media perspective, it is important not to lose sight of how much his interactive techniques emphasize embodiment. Boal makes “Knowing the Body” the first stage in his process—a process that culminates in direct bodily involvement in theatrical action via *Forum Theatre*, and that can even proceed into embodied performative action in the day-to-day world (without the theatrical markings of “guerrilla theatre”) via *Invisible Theatre*.

N. Katherine Hayles is one of new media’s most important commentators on issues of embodiment. In *How We Became Posthuman* she discusses how information became disembodied in first-wave cybernetics and in the fields inspired by it. However, since that period we have seen a dramatic return to concern with embodiment—both in cultural critique (e.g., Hayles herself, Donna Haraway’s cyborg (035), Roland Barthes’s “What does my body know of photography?”) and in the technologies of new media. Through concepts such as ubiquitous computing and multimodal interaction, the space of new media has crossed over workstation boundaries and into the space of our bodies.

The question of embodiment has gone unspoken in this volume’s previous discussion of interactivity—though it is clearly a subtext in performance-related selections, such as those of Allan Kaprow (006) and E.A.T. (014). It is also central to the examples used by Jean Baudrillard (019) to separate his thought from Hans Magnus Enzensberger’s (018), examples that range from “the street” (with its communication through the body) to graffiti that violates the physical space of the code. In the example of the movement opposing the World Trade Organization, discussed in introducing the Enzensberger and Baudrillard selections, a vital component of its success may be that network media is there used in support of embodied, worldwide protests.

To return to Boal’s techniques, they are undoubtedly effective in many situations for creating embodied interaction. For this reason, they are now practiced by groups around the world. The question now being investigated is whether Boal’s techniques for overcoming the spectator/actor divide can be used in new media contexts, perhaps creating a media that may overcome

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See the introduction to Norbert Wiener’s essay (004) for more on N. Katherine Hayles’s *How We Became Posthuman*.

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Many of the Theatre of the Oppressed’s specific techniques (its “arsenal”) are cataloged in *Games for Actors and Non-Actors*.

See Richard Bolt’s Put-That-There (029) for one of the first investigations of multimodal interaction ☒.

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Hannes Vilhjálmsson's *BodyChat*, for example, provides users with avatars that appear to breathe, look at each other when approaching and conversing, use facial expression, and produce conversational gestures to accompany the "speech" of typed statements. Whether such a system, used on a wide scale, would result in behaviors that approximate physical embodiment, pervert the way it is experienced, or have no relationship to it at all is not yet known.

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Boal's poetics are themselves anti-Aristotelian. Boal views Aristotle's catharsis as a deliberate dissipation of the energy needed to act, the energy needed to fight for social justice. He hopes that his own techniques, in contrast, can serve as practice and motivation for action, a "rehearsal for the revolution."

Baudrillard's encoder/decoder divide. Gonzalo Frasca speculates that Boal's poetics might be used to create "The Sims of the Oppressed"—enabling users to explore characters and their situations more deeply than current videogames can. One can also imagine a direct online analog of the Theatre of the Oppressed being acted out in the sorts of online spaces that have descended from *Habitat* (◊46). Could cyberspaces in which users have simulated bodies have any of the qualities of engagement that characterize their embodied counterparts?

Boal's techniques of Invisible Theatre have also been invoked in discussions of forms of new media art that operate outside the traditional art context, or without marking the information distributed as art. A high-profile example is the @™ark group, which uses the protections it gains as a limited-liability corporation to support "the sabotage (informative alteration) of corporate products, from dolls and children's learning tools to electronic action games" often in such a way that those first encountering the products are not aware of the alteration.

It remains to be seen if Boal's non-Aristotelian poetics can be compellingly applied to new media design in general, in an application of dramatic principles of the sort that Brenda Laurel (◊38) made from the realm of Aristotle's poetics, but the possibility is certainly intriguing. The excerpt from Boal's writing presented here begins halfway through his presentation of the four-stage process for transforming the spectator into a "spectactor"—after "Knowing the Body" and "Making the Body Expressive," but with the discussions of techniques such as forum theatre and invisible theatre still to come.

—NWF

Further Reading

Boal, Augusto. *Games for Actors and Non-Actors*. Trans. Adrian Jackson. New York: Routledge, 1992. Originally published as two books, *Stop! C'est magique* (Paris: Hachette, 1980) and *Jeus pour acteurs et non-acteurs* (Paris: La Découverte, 1989).

Cohen-Cruz, Jan, and Mady Schutzman, eds. *Playing Boal: Theatre, Therapy, Activism*. New York: Routledge, 1994.

Frasca, Gonzalo. "Rethinking Agency and Immersion: Videogames as a Means of Consciousness-Raising." *First Person: New Media as Story, Performance, and Game*. Ed. Noah Wardrip-Fruin and Pat Harrigan. Cambridge: MIT Press, forthcoming.

@™ark Web site. <www.rtmk.com>

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Original Publication

Theatre of the Oppressed, 132–156. Trans. Charles McBride and Maria-Odilia Leal McBride. New York: Theatre Communications Group, 1985. Reprint: New York: Urizen Books, 1979.

From the Portuguese *Teatro do oprimido*, 1974.

From Theater of the Oppressed

Augusto Boal

Third Stage: The Theater as Language

This stage is divided into three parts, each one representing a different degree of direct participation of the spectator in the performance. The spectator is encouraged to intervene in the action, abandoning his condition of object and assuming fully the role of subject. The two preceding stages are preparatory, centering around the work of the participants with their own bodies. Now this stage focuses on the theme to be discussed and furthers the transition from passivity to action.

First Degree: Simultaneous Dramaturgy

This is the first invitation made to the spectator to intervene without necessitating his physical presence on the “stage.”

Here it is a question of performing a short scene, of ten to twenty minutes, proposed by a local resident, one who lives in the *barrio*. The actors may improvise with the aid of a script prepared beforehand, as they may also compose the scene directly. In any case, the performance gains in theatricality if the person who proposed the theme is present in the audience. Having begun the scene, the actors develop it to the point at which the main problem reaches a crisis and needs a solution. Then the actors stop the performance and ask the audience to offer solutions. They improvise immediately all the suggested solutions, and the audience has the right to intervene, to correct the actions or words of the actors, who are obligated to comply strictly with these instructions from the audience. Thus, while the audience “writes” the work the actors perform it simultaneously. The spectator’s thoughts are discussed theatrically on stage with the help of the actors. All the solutions, suggestions, and opinions are revealed in theatrical form. The discussion itself need not simply take the form of words, but rather should be

effected through all the other elements of theatrical expression as well.

Here’s an example of how simultaneous dramaturgy works. In a *barrio* of San Hilarión, in Lima, a woman proposed a controversial theme. Her husband, some years before, had told her to keep some “documents” which, according to him, were extremely important. The woman—who happened to be illiterate—put them away without suspicion. One day they had a fight for one reason or another and, remembering the documents, the woman decided to find out what they were all about, since she was afraid they had something to do with the ownership of their small house. Frustrated in her inability to read, she asked a neighbor to read the documents to her. The lady next door kindly made haste to read the documents, which to the surprise and amusement of the whole *barrio*, were not documents at all, but rather love letters written by the mistress of the poor woman’s husband. Now this betrayed and illiterate woman wanted revenge. The actors improvised the scenes until the moment when the husband returns home at night, after his wife has uncovered the mystery of the letters. The woman wants revenge: how is she to get it? Here the action is interrupted and the participant who was interpreting the woman asked the others what should be her attitude in relation to her husband.

All the women of the audience entered into a lively exchange of views. The actors listened to the different suggestions and acted them out according to instructions given by the audience. All the possibilities were tried. Here are some of the suggested solutions in this particular case:

- 1) To cry a lot in order to make him feel guilty. One young woman suggested that the betrayed woman start to cry a lot so that the husband might feel bad about his own behavior. The actress carried out this suggestion: she cried a lot, the husband consoled her, and when the crying was over he asked her to serve his dinner; and everything remained as it was before. The husband assured her that he had already forgotten the mistress, that he loved only his wife, etc., etc. The audience did not accept this solution.
- 2) To abandon the house, leaving her husband alone as a punishment. The actress carried out this suggestion and, after reproaching her husband for his wicked behavior, grabbed her things, put them in a bag, and left him alone, very lonely, so that he would learn a lesson. But upon leaving

the house (that is, her own house), she asked the public about what she should do next. In punishing her husband she ended up punishing herself. Where would she go now? Where could she live? This punishment positively was not good since it turned against the punisher, herself.

3) To lock the house so that the husband would have to go away. This variation was also rehearsed. The husband repeatedly begs to be let in, but the wife steadfastly refused. After insisting several times, the husband commented: "Very well, I'll go away. They paid me my salary today, so I'll take the money and go live with my mistress and you can just get by the best way you can." And he left. The actress commented that she did not like this solution, since the husband went to live with the other woman, and what about the wife? How is she going to live now? The poor woman does not make enough money to support herself and cannot get along without her husband.

4) The last solution was presented by a large, exuberant woman; it was the solution accepted unanimously by the entire audience, men and women. She said: "Do it like this: let him come in, get a really big stick, and hit him with all your might—give him a good beating. After you've beat him enough for him to feel repentant, put the stick away, serve him his dinner with affection, and forgive him. . . ."

The actress performed this version, after overcoming the natural resistance of the actor who was playing the husband, and after a barrage of blows—to the amusement of the audience—the two of them sat at the table, ate, and discussed the latest measures taken by the government, which happened to be the nationalization of American companies.

This form of theater creates great excitement among the participants and starts to demolish the wall that separates actors from spectators. Some "write" and others act almost simultaneously. The spectators feel that they can intervene in the action. The action ceases to be presented in a deterministic manner, as something inevitable, as Fate. Man is Man's fate. Thus Man-the-spectator is the creator of Man-the-character. Everything is subject to criticism, to rectification. All can be changed, and at a moment's notice: the actors must always be ready to accept, without protest, any proposed action; they must simply act it out, to give a live view of its consequences and drawbacks. Any spectator, by virtue of being a spectator, has the right to try his version—without censorship. The actor does not change his

main function: he goes on being the interpreter. What changes is the object of his interpretation. If formerly he interpreted the solitary author locked in his study, to whom divine inspiration dictated a finished text, here on the contrary, he must interpret the mass audience, assembled in their local committees, societies of "friends of the *barrio*," groups of neighbors, schools, unions, peasant leagues, or whatever; he must give expression to the collective thought of men and women. The actor ceases to interpret the individual and starts to interpret the group, which is much more difficult and at the same time much more creative.

Second Degree: Image Theater

Here the spectator has to participate more directly. He is asked to express his views on a certain theme of common interest that the participants wish to discuss. The theme can be far-reaching, abstract—as, for example, imperialism—or it can be a local problem such as the lack of water, a common occurrence in almost all the *barrios*. The participant is asked to express his opinion, but without speaking, using only the bodies of the other participants and "sculpting" with them a group of statues, in such a way that his opinions and feelings become evident. The participant is to use the bodies of the others as if he were a sculptor and the others were made of clay: he must determine the position of each body down to the most minute details of their facial expressions. He is not allowed to speak under any circumstances. The most that is permitted to him is to show with his own facial expressions what he wants the statue-spectator to do. After organizing this group of statues he is allowed to enter into a discussion with the other participants in order to determine if all agree with his "sculpted" opinion. Modifications can be rehearsed: the spectator has the right to modify the statues in their totality or in some detail. When finally an image is arrived at that is the most acceptable to all, then the spectator-sculptor is asked to show the way he would like the given theme to be; that is, in the first grouping the *actual image* is shown, in the second the *ideal image*. Finally he is asked to show a *transitional image*, to show how it would be possible to pass from one reality to the other. In other words, how to carry out the change, the transformation, the revolution, or whatever term one wishes to use. Thus, starting with a grouping of "statues" accepted by all as representative of a real situation, each one is asked to propose ways of changing it.

Once again, a concrete example can best clarify the matter. A young woman, a literacy agent who lived in the village of

Otuzco, was asked to explain, through a grouping of live images, what her home town was like. In Otuzco, before the present Revolutionary Government,¹ there was a peasant rebellion; the landlords (that no longer exist in Peru), imprisoned the leader of the rebellion, took him to the main square, and, in front of everyone, castrated him. The young woman from Otuzco composed the image of the castration, placing one of the participants on the ground while another pretended to be castrating him and still another held him from behind. Then at one side she placed a woman praying, on her knees, and at the other side a group of five men and women, also on their knees, with hands tied behind their backs. Behind the man being castrated, the young woman placed another participant in a position obviously suggestive of power and violence and, behind him, two armed men pointing their guns at the prisoner.

This was the image that person had of her village. A terrible, pessimistic, defeatist image, but also a true reflection of something that had actually taken place. Then the young woman was asked to show what she would want her village to be like. She modified completely the "statues" of the group and regrouped them as people who worked in peace and loved each other—in short, a happy and contented, ideal Otuzco. Then came the third, and most important part, of this form of theater: how can one, starting with the actual image, arrive at the ideal image? How to bring about the change, the transformation, the revolution?

Here it was a question of giving an opinion, but without words. Each participant had the right to act as a "sculptor" and to show how the grouping, or organization, could be modified through a reorganization of forces for the purpose of arriving at an ideal image. Each one expressed his opinion through imagery. Lively discussions arose, but without words. When one would exclaim, "It's not possible like this; I think that . . .," he was immediately interrupted: "Don't say what you think; come and show it to us." The participant would go and demonstrate physically, visually, his thought, and the discussion would continue. In this particular case the following variations were observed:

1) When a young woman from the interior was asked to form the image of change, she would never change the image of the kneeling woman, signifying clearly that she did not see in that woman a potential force for revolutionary change. Naturally the young women identified themselves with that feminine figure and, since they could not perceive themselves

as possible protagonists of the revolution, they left unmodified the image of the kneeling woman. On the other hand, when the same thing was asked of a girl from Lima, she, being more "liberated," would start off by changing precisely that image with which she identified herself. This experiment was repeated many times and always produced the same results, without variation. Undoubtedly the different patterns of action represent not chance occurrence but the sincere, visual expression of the ideology and psychology of the participants. The young women from Lima always modified the image: some would make the woman clasp the figure of the castrated man, others would prompt the woman to fight against the castrator, etc. Those from the interior did little more than allow the woman to lift her hands in prayer.

2) All the participants who believed in the Revolutionary Government would start by modifying the armed figures in the background: they changed the two men who were aiming their guns at the victim so that they would then aim at the powerful figure in the center or at the castrators themselves. On the other hand, when a participant did not have the same faith in his government, he would alter all figures except the armed ones.

3) The people who believed in magical solutions or in a "change of conscience" on the part of the exploiting classes, would start by modifying the castrators—viewing them in effect as changing of their own volition—as well as the powerful figure in the center, who would become regenerated. By contrast, those who did not believe in this form of social change would first alter the kneeling men, making them assume a fighting posture, attacking the oppressors.

4) One of the young women, besides showing the transformations to be the work of the kneeling men—who would free themselves, attack their torturers and imprison them—also had one of the figures representing the people address the other participants, clearly expressing her opinion that social changes are made by the people as a whole and not only by their vanguard.

5) Another young woman made all kinds of changes, leaving untouched only the five persons with their hands tied. This girl belonged to the upper middle class. When she showed signs of nervousness for not being able to imagine any further changes, someone suggested to her the possibility of changing the group of tied figures; the girl looked at them in

surprise and exclaimed: "The truth is that those people didn't fit in! . . ." It was the truth. The people did not fit into her view of the scheme of things, and she had never before been able to see it.

This form of image theater is without doubt one of the most stimulating, because it is so easy to practice and because of its extraordinary capacity for making thought *visible*. This happens because use of the language idiom is avoided. Each word has a denotation that is the same for all, but it also has a connotation that is unique for each individual. If I utter the word "revolution," obviously everyone will realize that I am talking about a radical change, but at the same time each person will think of his or her "own" revolution, a personal conception of revolution. But if I have to arrange a group of statues that will signify "my revolution," here there will be no denotation-connotation dichotomy. The image synthesizes the individual connotation and the collective denotation. In my arrangement signifying revolution, what are the statues doing? Do they have weapons in their hands or do they have ballots? Are the figures of the people united in a fighting posture against the figures representing the common enemies; or are the figures of the people dispersed, or showing disagreement among themselves? My conception of "revolution" will become clear if, instead of speaking, I show with images what I think.

I remember that in a session of psychodrama a girl spoke repeatedly of the problems she had with her boyfriend, and she always started with more or less the same phrase: "He came in, embraced me, and then. . ." Each time we heard this opening phrase we understood that they did in fact embrace; that is, we understood what the word *embrace* denotes. Then one day she showed by acting how their meetings were: he approached, she crossed her arms over her breasts as if protecting herself, he took hold of her and hugged her tightly, while she continued to keep her hands closed, defending herself. That was clearly a particular connotation for the word *embrace*. When we understood her "embrace" we were finally able to understand her problems with her boyfriend.

In image theater other techniques can be used:

1) Each participant transformed into a statue is allowed one movement or gesture, and only one, each time a signal (like a clap of hands) is given. In this case the arrangement of images will change according to the individual desire of each participant.

2) The participants are first asked to memorize the ideal image, then to return to the original, actual image, and finally to make the movements necessary to arrive again at the ideal image—thus showing the group of images in motion and allowing the analysis of the feasibility of the proposed transitions. One will then be able to see if change occurs by the grace of God or if it is brought about by the opposing forces operating within the very core of the group.

3) The sculptor-participant, once his work is finished, is asked to try to place himself in the group he has created. This sometimes helps the person to realize that his own vision of reality is a cosmic one, as if he were a part of that reality.

The game of images offers many other possibilities. The important thing is always to analyze the feasibility of the change.

Third Degree: Forum Theater

This is the last degree and here the participant has to intervene decisively in the dramatic action and change it. The procedure is as follows: First, the participants are asked to tell a story containing a political or social problem of difficult solution. Then a ten- or fifteen-minute skit portraying that problem and the solution intended for discussion is improvised or rehearsed, and subsequently presented. When the skit is over, the participants are asked if they agree with the solution presented. At least some will say no. At this point it is explained that the scene will be performed once more, exactly as it was the first time. But now any participant in the audience has the right to replace any actor and lead the action in the direction that seems to him most appropriate. The displaced actor steps aside, but remains ready to resume action the moment the participant considers his own intervention to be terminated. The other actors have to face the newly created situation, responding instantly to all the possibilities that it may present.

The participants who choose to intervene must continue the physical actions of the replaced actors; they are not allowed to come on the stage and talk, talk, talk: they must carry out the same type of work or activities performed by the actors who were in their place. The theatrical activity must go on in the same way, on the stage. Anyone may propose any solution, but it must be done on the stage, working, acting, doing things, and not from the comfort of his seat. Often a person is very revolutionary when in a public forum he envisages and advocates revolutionary and heroic acts; on the other hand, he often realizes that things

are not so easy when he himself has to practice what he suggests.

An example: An eighteen-year-old man worked in the city of Chimbote, one of the world's most important fishing ports. There are in that city a great number of factories of fish meal, a principal export product of Peru. Some factories are very large, while others have only eight or nine employees. Our young man worked for one of the latter. The boss was a ruthless exploiter and forced his employees to work from eight o'clock in the morning to eight at night, or vice versa—twelve consecutive hours of work. Thus the problem was how to combat this inhuman exploitation. Each participant had a proposal: one of them was, for example, "operation turtle," which consists in working very slowly, especially when the boss is not looking. Our young man had a brilliant idea: to work faster and fill the machine with so much fish that it would break with the excessive weight, requiring two or three hours to fix it. During this time the workers could rest. There was the problem, the employer's exploitation; and there was one solution, invented by native ingenuity. But would that be the best solution?

The scene was performed in the presence of all the participants. Some actors represented the workers, another represented the boss, another the foreman, another a "stool pigeon." The stage was converted into a fish meal factory: one worker unloading the fish, another weighing the bags of fish, another carrying the bags to the machines, another tending the machine, while still others performed other pertinent tasks. While they worked, they kept up a dialogue, proposing solutions and discussing them until they came to accept the solution proposed by the young man and broke the machine; the boss came and the workers rested while the engineer repaired the machine. When the repair was done, they went back to work.

The scene was staged for the first time and the question was raised: Were all in agreement? No, definitely not. On the contrary, they disagreed. Each one had a different proposal: to start a strike, throw a bomb at the machine, start a union, etc.

Then the technique of forum theater was applied: the scene would be staged exactly as it had been the first time, but now each spectator-participant would have the right to intervene and change the action, trying out his proposal. The first to intervene was the one who suggested the use of a bomb. He got up, replaced the actor who was portraying the young man, and made his bomb-throwing proposal. Of

course all the other actors argued against it since that would mean the destruction of the factory, and therefore the source of work. What would become of so many workers if the factory closed up? Disagreeing, the man decided to throw the bomb himself, but soon realized that he did not know how to manufacture a bomb nor even how to throw it. Many people who in theoretical discussions advocate throwing bombs would not know what to do in reality, and would probably be the first to perish in the explosion. After trying his bomb-solution, the man returned to his place and the actor replaced him until a second person came to try his solution, the strike. After much argument with the others he managed to convince them to stop working and walk out, leaving the factory abandoned. In this case, the owner, the foreman, and the "stool pigeon," who had remained in the factory, went to the town square (among the audience) to look for other workers who would replace the strikers (there is mass unemployment in Chimbote). This spectator-participant tried his solution, the strike, and realized its impracticability; with so much unemployment the bosses would always be able to find workers hungry enough and with little enough political consciousness to replace the strikers.

The third attempt was to form a small union for the purpose of negotiating the workers' demands, politicizing the employed workers, as well as the unemployed, setting up mutual funds, etc. In this particular session of forum theater, this was the solution judged to be the best by the participants. In the forum theater no idea is imposed: the audience, the people, have the opportunity to try out all their ideas, to rehearse all the possibilities, and to verify them in practice, that is, in theatrical practice. If the audience had come to the conclusion that it was necessary to dynamite all the fish meal factories in Chimbote, this would also be right from their point of view. It is not the place of the theater to show the correct path, but only to offer the means by which all possible paths may be examined.

Maybe the theater in itself is not revolutionary, but these theatrical forms are without a doubt a *rehearsal of revolution*. The truth of the matter is that the spectator-actor practices a real act even though he does it in a fictional manner. While he *rehearses* throwing a bomb on stage, he is concretely rehearsing the way a bomb is thrown; acting out his attempt to organize a strike, he is concretely organizing a strike. Within its fictitious limits, the experience is a concrete one.

Here the cathartical effect is entirely avoided. We are used to plays in which the characters make the revolution on stage and the spectators in their seats feel themselves to be triumphant revolutionaries. Why make a revolution in reality if we have already made it in the theater? But that does not happen here: the rehearsal stimulates the practice of the act in reality. Forum theater, as well as these other forms of a people's theater, instead of taking something away from the spectator, evoke in him a desire to practice in reality the act he has rehearsed in the theater. The practice of these theatrical forms creates a sort of uneasy sense of incompleteness that seeks fulfillment through real action.

Fourth Stage: The Theater as Discourse

George Ikishawa used to say that the bourgeois theater is the finished theater. The bourgeoisie already knows what the world is like, *their* world, and is able to present images of this complete, finished world. The bourgeoisie presents the spectacle. On the other hand, the proletariat and the oppressed classes do not know yet what their world will be like; consequently their theater will be the rehearsal, not the finished spectacle. This is quite true, though it is equally true that the theater can present images of transition.

I have been able to observe the truth of this view during all my activities in the people's theater of so many and such different countries of Latin America. Popular audiences are interested in experimenting, in rehearsing, and they abhor the "closed" spectacles. In those cases they try to enter into a dialogue with the actors, to interrupt the action, to ask for explanations without waiting politely for the end of the play. Contrary to the bourgeois code of manners, the people's code allows and encourages the spectator to ask questions, to dialogue, to participate.

All the methods that I have discussed are forms of a rehearsal-theater, and not a spectacle-theater. One knows how these experiments will begin but not how they will end, because the spectator is freed from his chains, finally acts, and becomes a protagonist. Because they respond to the real needs of a popular audience they are practiced with success and joy.

But nothing in this prohibits a popular audience from practicing also more "finished" forms of theater. In Peru many forms previously developed in other countries, especially Brazil and Argentina, were also utilized and with great success. Some of these forms were:

1) Newspaper Theater

It was initially developed by the Nucleus Group of the Arena Theater of Sao Paulo, of which I was the artistic director until forced to leave Brazil.² It consists of several simple techniques for transforming daily news items, or any other non-dramatic material, into theatrical performances.

a) *Simple reading*: the news item is read detaching it from the context of the newspaper, from the format which makes it false or tendentious.

b) *Crossed reading*: two news items are read in crossed (alternating) form, one throwing light on the other, explaining it, giving it a new dimension.

c) *Complementary reading*: data and information generally omitted by the newspapers of the ruling classes are added to the news.

d) *Rhythmical reading*: as a musical commentary, the news is read to the rhythm of the samba, tango, Gregorian chant, etc., so that the rhythm functions as a critical "filter" of the news, revealing its true content, which is obscured in the newspaper.

e) *Parallel action*: the actors mime parallel actions while the news is read, showing the context in which the reported event really occurred; one hears the news and sees something else that complements it visually.

f) *Improvisation*: the news is improvised on stage to exploit all its variants and possibilities.

g) *Historical*: data or scenes showing the same event in other historical moments, in other countries, or in other social systems, are added to the news.

h) *Reinforcement*: the news is read or sung with the aid or accompaniment of slides, jingles, songs, or publicity materials.

i) *Concretion of the abstract*: that which the news often hides in its purely abstract information is made concrete on the stage: torture, hunger, unemployment, etc., are shown concretely, using graphic images, real or symbolic.

j) *Text out of context*: the news is presented out of the context in which it was published; for example, an actor gives the speech about austerity previously delivered by the Minister of Economics while he devours an enormous dinner: the real truth behind the minister's words becomes demystified—he wants austerity for the people but not for himself.

2) Invisible Theater

It consists of the presentation of a scene in an environment other than the theater, before people who are not spectators. The place can be a restaurant, a sidewalk, a market, a train, a line of people, etc. The people who witness the scene are those who are there by chance. During the spectacle, these people must not have the slightest idea that it is a "spectacle," for this would make them "spectators."

The invisible theater calls for the detailed preparation of a skit with a complete text or a simple script; but it is necessary to rehearse the scene sufficiently so that the actors are able to incorporate into their acting and their actions the intervention of the spectators. During the rehearsal it is also necessary to include every imaginable intervention from the spectators; these possibilities will form a kind of optional text.

The invisible theater erupts in a location chosen as a place where the public congregates. All the people who are near become involved in the eruption and the effects of it last long after the skit is ended.

A small example shows how the invisible theater works. In the enormous restaurant of a hotel in Chiclayo, where the literacy agents of ALFIN were staying, together with 400 other people, the "actors" sit at separate tables. The waiters start to serve. The "protagonist" in a more or less loud voice (to attract the attention of other diners, but not in a too obvious way) informs the waiter that he cannot go on eating the food served in that hotel, because in his opinion it is too bad. The waiter does not like the remark but tells the customer that he can choose something *a la carte*, which he may like better. The actor chooses a dish called "Barbecue a la pauper." The waiter points out that it will cost him 70 soles, to which the actor answers, always in a reasonably loud voice, that there is no problem. Minutes later the waiter brings him the barbecue, the protagonist eats it rapidly and gets ready to get up and leave the restaurant, when the waiter brings the bill. The actor shows a worried expression and tells the people at the next table that his barbecue was much better than the food they are eating, but the pity is that one has to pay for it. . . .

"I'm going to pay for it; don't have any doubts. I ate the 'barbecue a la pauper' and I'm going to pay for it. But there is a problem: I'm broke."

"And how are you going to pay?," asks the indignant waiter. "You knew the price before ordering the barbecue. And now, how are you going to pay for it?"

The diners nearby are, of course, closely following the dialogue—much more attentively than they would if they were witnessing the scene on a stage. The actor continues:

"Don't worry, because I *am* going to pay you. But since I'm broke I will pay you with labor-power."

"With what?," asks the waiter, astonished. "What kind of power?"

"With labor-power, just as I said. I am broke but I can rent you my labor-power. So I'll work doing something for as long as it's necessary to pay for my 'barbecue a la pauper,' which, to tell the truth, was really delicious—much better than the food you serve to those poor souls. . . ."

By this time some of the customers intervene and make remarks among themselves at their tables, about the price of food, the quality of the service in the hotel, etc. The waiter calls the headwaiter to decide the matter. The actor explains again to the latter the business of renting his labor-power and adds:

"And besides, there is another problem: I'll rent my labor-power but the truth is that I don't know how to do anything, or very little. You will have to give me a very simple job to do. For example, I can take out the hotel's garbage. What's the salary of the garbage man who works for you?"

The headwaiter does not want to give any information about salaries, but a second actor at another table is already prepared and explains that he and the garbage man have gotten to be friends and that the latter has told him his salary: seven soles per hour. The two actors make some calculations and the "protagonist" exclaims:

"How is this possible! If I work as a garbage man I'll have to work ten hours to pay for this barbecue that it took me ten minutes to eat? It can't be! Either you increase the salary of the garbage man or reduce the price of the barbecue! . . . But I can do something more specialized; for example, I can take care of the hotel gardens, which are so beautiful, so well cared for. One can see that a very talented person is in charge of the gardens. How much does the gardener of this hotel make? I'll work as a gardener! How many hours work in the garden are necessary to pay for the 'barbecue a la pauper'?"

A third actor, at another table, explains his friendship with the gardener, who is an immigrant from the same village as he; for this reason he knows that the gardener makes ten soles per hour. Again the "protagonist" becomes indignant:

"How is this possible? So the man who takes care of these beautiful gardens, who spends his days out there exposed to

the wind, the rain, and the sun, has to work seven long hours to be able to eat the barbecue in ten minutes? How can this be, Mr. Headwaiter? Explain it to me!”

The headwaiter is already in despair; he dashes back and forth, gives orders to the waiters in a loud voice to divert the attention of the other customers, alternately laughs and becomes serious, while the restaurant is transformed into a public forum. “The “protagonist” asks the waiter how much he is paid to serve the barbecue and offers to replace him for the necessary number of hours. Another actor, originally from a small village in the interior, gets up and declares that nobody in his village makes 70 soles per day; therefore nobody in his village can eat the “barbecue a la pauper.” (The sincerity of this actor, who was, besides, telling the truth, moved those who were near his table.)

Finally, to conclude the scene, another actor intervenes with the following proposition:

“Friends, it looks as if we are against the waiter and the headwaiter and this does not make sense. They are our brothers. They work like us, and they are not to blame for the prices charged here. I suggest we take up a collection. We at this table are going to ask you to contribute whatever you can, one *sol*, two *soles*, five *soles*, whatever you can afford. And with that money we are going to pay for the barbecue. And be generous, because what is left over will go as a tip for the waiter, who is our brother and a working man.”

Immediately those who are with him at the table start collecting money to pay the bill. Some customers willingly give one or two *soles*. Others furiously comment:

“He says that the food we’re eating is junk, and now he wants us to pay for his barbecue! . . . And am I going to eat this junk? Hell no? I wouldn’t give him a peanut, so he’ll learn a lesson! Let him wash dishes. . . .”

The collection reached 100 *soles* and the discussion went on through the night. It is always very important that the actors do not reveal themselves to be actors! On this rests the *invisible* nature of this form of theater. And it is precisely this invisible quality that will make the spectator act freely and fully, as if he were living a real situation—and, after all, it is a real situation!

It is necessary to emphasize that the invisible theater is not the same thing as a “happening” or the so-called “guerrilla theater.” In the latter we are clearly talking about “theater,” and therefore the wall that separates actors from spectators immediately arises, reducing the spectator to impotence: a

spectator is always less than a man! In the invisible theater the theatrical rituals are abolished; only the theater exists, without its old, worn-out patterns. The theatrical energy is completely liberated, and the impact produced by this free theater is much more powerful and longer lasting.

Several presentations of invisible theater were made in different locations in Peru. Particularly interesting is what happened at the Carmen Market, in the *barrio* of Comas, some 14 kilometers away from downtown Lima. Two actresses were protagonists in a scene enacted at a vegetable stand. One of them, who was pretending to be illiterate, insisted that the vendor was cheating her, taking advantage of the fact that she did not know how to read; the other actress checked the figures, finding them to be correct, and advised the “illiterate” one to register in one of ALFIN’s literacy courses. After some discussion about the best age to start one’s studies, about what to study and with whom, the first actress kept on insisting that she was too old for those things. It was then that a little old woman, leaning on her cane, very indignantly shouted:

“My dears, that’s not true? For learning and making love one is never too old!”

Everyone witnessing the scene broke into laughter at the old woman’s amorous outburst, and the actresses were unable to continue the scene.

3) Photo-Romance

In many Latin-American countries there is a genuine epidemic of photo-romances, sub-literature on the lowest imaginable level, which furthermore always serves as a vehicle for the ruling classes’ ideology. The technique here consists in reading to the participants the general lines in the plot of a photo-romance without telling them the source of this plot. The participants are asked to act out the story. Finally, the acted-out story is compared to the story as it is told in the photo-romance, and the differences are discussed.

For example: a rather stupid story taken from Corín Tellado, the worst author of this brutalizing genre, started like this:

A woman is waiting for her husband in the company of another woman who is helping her with the housework. . . .

The participants acted according to their customs: a woman at home expecting her husband will naturally be preparing the meal; the one helping her is a neighbor, who comes to chat about various things; the husband comes home tired after a long day’s work; the house is a one-room

shack, etc., etc. In Corín Tellado, on the contrary, the woman is dressed in a long evening gown, with pearl necklaces, etc.; the woman who is helping her is a black maid who says no more than "Yes, ma'am"; "The dinner is served, ma'am"; "Very well, ma'am"; "Here comes Mr. X, ma'am"; and nothing else. The house is a marble palace; the husband comes home after a day's work in his factory, where he had an argument with the workers because they, "not understanding the crisis we are all living through, wanted an increase in salaries . . ." and continuing in this vein.

This particular story was sheer trash, but at the same time it served as magnificent example of ideological insight. The well-dressed woman received a letter from an unknown woman, went to visit her, and discovered her to be a former mistress of her husband; the mistress stated that the husband had left her because he wanted to marry the factory owner's daughter, that is, the well-dressed woman. To top it all, the mistress exclaimed:

"Yes, he betrayed me, deceived me. But I forgive him because, after all, he has always been very ambitious, and he knew very well that with me he could not climb very high. On the other hand, with you he can go very far indeed!"

That is to say, the former mistress forgave her lover because he had in the highest degree that capitalistic eagerness to possess everything. The desire to be a factory owner is presented as something so noble that even a few betrayals on the way up are to be forgiven. . . .

And the young wife, not to be outdone, pretends to be ill so that he will have to remain at her side, and so that, as a result of this trick, he will finally fall in love with her. What an ideology! This love story is crowned with a happy ending rotten to the core. Of course the story, when told without the dialogues and acted out by peasants, takes on an entirely different meaning. When at the end of the performance, the participants are told the origin of the plot they have just acted out, they experience a shock. And this must be understood: when they read Corín Tellado they immediately assume the passive role of "spectators"; but if they first of all have to act out a story themselves, afterwards, when they do read Corín Tellado's version, they will no longer assume a passive, expectant attitude, but instead a critical, comparative one. They will look at the lady's house, and compare it to their own, at the husband's or wife's attitudes and compare them with those of their own spouses, etc. And they will be prepared to detect the poison infiltrating the pages of those

photo-stories, or the comics and other forms of cultural and ideological domination.

I was overjoyed when, months after the experiments with the educators, back in Lima, I was informed that the residents of several *barrios* were using that same technique to analyze television programs, an endless source of poison directed against the people.

4) Breaking of Repression

The dominant classes crush the dominated ones through repression; the old crush the young through repression; certain races subjugate certain others through repression. Never through a cordial understanding, through an honest interchange of ideas, through criticism and autocriticism. No. The ruling classes, the old, the "superior" races, or the masculine sex, have their sets of values and impose them by force, by unilateral violence, upon the oppressed classes, the young, the races they consider inferior, or women.

The capitalist does not ask the working man if he agrees that the capital should belong to one and the labor to another; he simply places an armed policeman at the factory door and that is that—private property is decreed.

The dominated class, race, sex, or age group suffers the most constant, daily, and omnipresent repression. The ideology becomes concrete in the figure of the dominated person. The proletariat is exploited through the domination that is exerted on all proletarians. Sociology becomes psychology. There is not an oppression by the masculine sex in general of the feminine sex in general: what exists is the concrete oppression that men (individuals) direct against women (individuals).

The technique of breaking repression consists in asking a participant to remember a particular moment when he felt especially repressed, accepted that repression, and began to act in a manner contrary to his own desires. That moment must have a deep personal meaning: I, a proletarian, am oppressed; we proletarians are oppressed; therefore the proletariat is oppressed. It is necessary to pass from the particular to the general, not vice versa, and to deal with something that has happened to someone in particular, but which at the same time is typical of what happens to others.

The person who tells the story also chooses from among the rest of the participants all the other characters who will participate in the reconstruction of the incident. Then, after receiving the information and directions provided by the protagonist, the participants and the protagonist act out the

incident just as it happened in reality—recreating the same scene, the same circumstances, and the same original feelings.

Once the “reproduction” of the actual event is over, the protagonist is asked to repeat the scene, but this time without accepting the repression, fighting to impose his will, his ideas, his wishes. The other participants are urged to maintain the repression as in the first performance. The clash that results helps to measure the possibility one often has to resist and yet fails to do so; it helps to measure the true strength of the enemy. It also gives the protagonist the opportunity of trying once more and carrying out, in fiction, what he had not been able to do in reality. But we have already seen that this is not cathartic: the fact of having rehearsed a resistance to oppression will prepare him to resist effectively in a future reality, when the occasion presents itself once more.

On the other hand, it is necessary to take care that the generic nature of the particular case under study be understood. In this type of theatrical experiment the particular instance must serve as the point of departure, but it is indispensable to reach the general. The process to be realized, during the actual performance or afterward during the discussion, is one that ascends from the *phenomenon* toward the *law*; from the phenomena presented in the plot toward the social laws that govern those phenomena. The spectator-participants must come out of this experience enriched with the knowledge of those laws, obtained through analysis of the phenomena.

5) Myth Theater

It is simply a question of discovering the obvious behind the myth: to logically tell a story, revealing its evident truths.

In a place called Motupe there was a hill, almost a mountain, with a narrow road that led through the trees to the top; halfway to the top stood a cross. One could go as far as that cross: to go beyond it was dangerous; it inspired fear, and the few who had tried had never returned. It was believed that some sanguinary ghosts inhabited the top of the mountain. But the story is also told of a brave young man who armed himself and climbed to the top, where he found the “ghosts.” They were in reality some Americans who owned a gold mine located precisely on the top of that mountain.

Another legend is that of the lagoon of Cheken. It is said that there was no water there and that all the peasants, having to travel for several kilometers to get a glass of water,

were dying of thirst. Today a lagoon exists there, the property of a local landowner. How did that lagoon spring up and how did it become the property of one man? The legend explains it. When there was still no water, on a day of intense heat all the villagers were lamenting and praying to God to grant them even a tiny stream of water. But God did not have pity on that arid village. At midnight of the same day, however, a man dressed in a long black poncho and riding a black horse arrived and addressed the landowner, who was then only a poor peasant like the others:

“I will give a lagoon for all of you, but *you*, friend, must give me your most precious possession.”

The poor man, very distressed, moaned:

“But I have nothing; I am very poor. We all here suffer from the lack of water, live in miserable shacks, suffer from the most terrible hunger. We have nothing precious, not even our lives. And myself in particular, my only precious possession is my three daughters, nothing else.”

“And of the three,” responded the stranger, “the oldest is the most beautiful. I will give you a lagoon filled with the freshest water of all Peru; but in exchange you will give me your oldest daughter so that I may marry her.”

The future landlord thought for a long while, cried a lot, and asked his frightened eldest daughter if she would accept such an unusual marriage proposal. The obedient daughter expressed herself in this way:

“If it is for the salvation of all, so that the thirst and hunger of all the peasants will come to an end, if it is so that you may have a lagoon with the freshest water of all Peru, if it is so that that lagoon will belong to you alone and bring you personal prosperity and riches—for you will be able to sell this wonderful water to the peasants, who will find it cheaper to buy from you than to travel so many kilometers—if it is for all this, tell the gentleman in the black poncho, astride his black horse, that I will go with him, even if in my heart I am suspicious of his true identity and of the places he will take me.”

Happy and contented, and of course somewhat tearful, the kind father went to inform the man in black of the decision, meanwhile asking the daughter to make some little signs showing the price of a liter of water, in order to expedite the work. The man in black undressed the girl, for he did not want to take anything from that house besides the girl herself, and placed her on his horse, which set off at a gallop toward a great depression in the plains. Then an enormous

explosion was heard, and a large cloud of smoke remained in every place where the horse, horseman, and naked girl had appeared. From the huge hole that had been made in the ground, a spring started to flow and formed the lagoon with the freshest water of all Peru.

This myth no doubt hides a truth: the landlord took possession of what did not belong to him. If formerly the noblemen attributed to God the granting of their property and rights, today explanations no less magical are still used. In this case, the property of the lagoon was explained by the loss of the eldest daughter, the landlord's most precious possession—a transaction took place! And serving as a reminder of that, the legend said that on the nights of the new moon one could hear the girl singing at the bottom of the lagoon, still naked and combing her long hair with a beautiful golden comb. . . . Yes, the truth is that for the landlord the lagoon was like gold.

The myths told by the people should be studied and analyzed and their hidden truths revealed. In this task the theater can be extraordinarily useful.

6) Analytical Theater

A story is told by one of the participants and immediately the actors improvise it. Afterward each character is broken down into all his social roles and the participants are asked to choose a physical object to symbolize each role. For example, a policeman killed a chicken thief. The policeman is analyzed:

- a) he is a worker because he rents his labor-power; symbol: a pair of overalls;
- b) He is a bourgeois because he protects private property and values it more than human life; symbol: a necktie, or a top hat, etc.;
- c) he is a repressive agent because he is a policeman; symbol: a revolver.

This is continued until the participants have analyzed all his roles: head of a family (symbol: the wallet, for example), member of a fraternal order, etc., etc. It is important that the symbols be chosen by the participants present and that they not be imposed "from above." For a particular community the symbol for the head of the family might be a wallet, because he is the person who controls the household finances, and in this way controls the family. For another community this symbol may not communicate anything, that is, it may not be a symbol; then an armchair may be chosen. . . .

Having analyzed the character, or characters (it is advisable to limit this operation to the central characters only, for the sake of simplicity and clarity), a fresh attempt to tell the story is made, but taking away some of the symbols from each character, and consequently some social roles as well. Would the story be exactly the same if:

- a) the policeman did not have the top hat or the necktie?
- b) the robber had a top hat or necktie?
- c) the robber had a revolver?
- d) the policeman and the robber both had the same symbol for the fraternal order?

The participants are asked to make varying combinations and the proposed combinations must be performed by the actors and criticized by all those present. In this way they will realize that human actions are not the exclusive and primordial result of individual psychology: almost always, through the individual speaks his class!

7) Rituals and Masks

The relations of production (infrastructure) determine the culture of a society (superstructure).

Sometimes the infrastructure changes but the superstructure for a while remains the same. In Brazil the landlords would not allow the peasants to look them in the face while talking with them: this would mean lack of respect. The peasants were accustomed to talking with the landlords only while staring at the ground and murmuring: "yes, sir; yes, sir; yes, sir." When the government decreed an agrarian reform (before 1964, date of the fascist *coup d'état*) its emissaries went to the fields to tell the peasants that now they could become landowners. The peasants, staring at the ground, murmured: "yes, friend; yes, friend; yes, friend." A feudalistic culture had totally permeated their lives. The relationships of the peasant with the landlord were entirely different from those with the agent of the Institute of Agrarian Reform, but the ritual remained unchanged.

This particular technique of a people's theater ("Rituals and masks") consists precisely in revealing the superstructures, the rituals which reify all human relationships, and the masks of behavior that those rituals impose on each person according to the roles he plays in society and the rituals he must perform.

A very simple example: a man goes to a priest to confess his sins. How will he do it? Of course, he will kneel, confess his sins, hear the penitence, cross himself, and leave. But do

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all men confess always in the same way before all priests?
Who is the man, and who is the priest?

In this case we need two versatile actors to stage the same confession four times:

First scene: the priest and the parishioner are landlords;

Second scene: the priest is a landlord and the parishioner is a peasant;

Third scene: the priest is a peasant and the parishioner is a landlord;

Fourth scene: the priest and the parishioner are peasants.

The ritual is the same in each instance, but the different social masks will cause the four scenes to be different also.

This is an extraordinarily rich technique which has countless variants: the same ritual changing masks; the same ritual performed by people of one social class, and later by people of another class; exchange of masks within the same ritual; etc., etc.

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Conclusion: "Spectator," a Bad Word!

Yes, this is without a doubt the conclusion: "Spectator" is a bad word! The spectator is less than a man and it is necessary to humanize him, to restore to him his capacity of action in all its fullness. He too must be a subject, an actor on an equal plane with those generally accepted as actors, who must also be spectators. All these experiments of a people's theater have the same objective—the liberation of the spectator, on whom the theater has imposed finished visions of the world. And since those responsible for theatrical performances are in general people who belong directly or indirectly to the ruling classes, obviously their finished images will be reflections of themselves. The spectators in the people's theater (i.e., the people themselves) cannot go on being the passive victims of those images.

As we have seen in the first essay of this book, the poetics of Aristotle is the *poetics of oppression*: the world is known, perfect or about to be perfected, and all its values are

imposed on the spectators, who passively delegate power to the characters to act and think in their place. In so doing the spectators purge themselves of their tragic flaw—that is, of something capable of changing society. A catharsis of the revolutionary impetus is produced! Dramatic action substitutes for real action.

Brecht's poetics is that of the enlightened vanguard: the world is revealed as subject to change, and the change starts in the theater itself, for the spectator does not delegate power to the characters to think in his place, although he continues to delegate power to them to act in his place. The experience is revealing on the level of consciousness, but not globally on the level of the action. Dramatic action throws light upon real action. The spectacle is a preparation for action.

The *poetics of the oppressed* is essentially the poetics of liberation: the spectator no longer delegates power to the characters either to think or to act in his place. The spectator frees himself; he thinks and acts for himself! Theater is action!

Perhaps the theater is not revolutionary in itself; but have no doubts, it is a rehearsal of revolution!

Notes

1. The government established after the October 1968 revolution and headed by President Juan Velasco Alvarado (replaced in August 1975 by Francisco Morales Bermúdez). (Translators' note.)
2. Under the author's leadership the Arena Theater developed into one of Brazil's—indeed, one of Latin America's—most outstanding theaters. After 1964, when military rule was established in that country, Boal's work continued, though hampered by censorship and other restrictions imposed by the government. His outspoken position against the authoritarian regime led to his imprisonment and torture in 1971. Released after three months and acquitted of all charges, he was nevertheless compelled to leave Brazil in order to insure the safety of himself and his family. After political circumstances also forced him to leave Buenos Aires, Argentina, he took up residence in Portugal.